

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 05398.P007X
(maximum 12 characters)

First Named Inventor Mansur B. Kiadeh

Title: APPARATUS AND METHOD FOR REDUCING OSCILLATIONS IN AN OPTICAL SYSTEM

Express Mail Label No. EU019245760US

031356 U.S. PTO
10/769160



013004

ADDRESS TO: **Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. XX **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. XX **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. XX **Specification (Total Pages 38)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. XX **Drawings(s) (35 USC 113) (Total Sheets 23)**
5. XX **Oath or Declaration (Total Pages 4)**
 - a. X **Newly Executed (Original or Copy)**
 - b. **Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)**
 - i. **DELETIONS OF INVENTOR(S) Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).**
 - c. **Unsigned.**
6. XX **Application Data Sheet. (37 CFR 1.76)**
7. **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)**
 - a. **Computer Readable Form (CRF)**
 - b. **Specification Sequence Listing on:**
 - i. **CD-ROM or CD-R (2 copies); or**
 - ii. **paper**
 - c. **Statement verifying identity of above copies**

ACCOMPANYING APPLICATION PARTS

9. XX **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. **Preliminary Amendment**
14. XX **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Nonpublication Request under 37 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: _____

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation Divisional X Continuation-in-part (CIP)
 Of Prior Application No.: 10/171,298 Examiner Unassigned Group Art Unit 2872
 (which is a continuation/ divisional/ CIP of prior application no. _____,
 which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

X Customer Number or Bar Code Label 27660
 or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME Bradley J. Berezna
BURGESS & BEREZNAK, LLP
 ADDRESS 800 West El Camino Real
Suite 180
 CITY Mountain View STATE California ZIP CODE 94040
 Country U.S.A. TELEPHONE (650) 903-2264 FAX (650) 903-2280

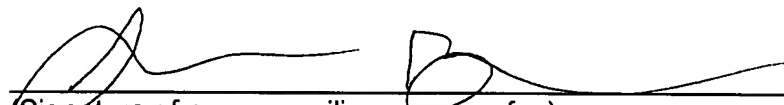
Name (PRINT/TYPE): Bradley J. Berezna Registration N .: 33,474

Signature:  Date: 1/30/04

"Express Mail" mailing label number: EU019245760US
Date of Deposit: January 30, 2004

I hereby certify that I am causing this Utility Transmittal and copy thereof, attached Fee Transmittal and copy thereof, attached Application Data Sheet, specification, drawings, executed Declaration, executed Assignment, Recordation Form Cover Sheet, Postcard, and a check in the amount of \$434.00, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that these papers and fee have been addressed to the Commissioner of Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450

John Berezna
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

01/30/04
(Date signed)

FEE TRANSMITTAL FOR FY 2004**TOTAL AMOUNT OF PAYMENT (\$)** \$ 434.00**Complete if Known:**

Application No. Not Yet Assigned
 Filing Date January 30, 2004 (Concurrently Herewith)
 First Named Inventor Mansur B. Kiadeh
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 05398.P007X

METHOD OF PAYMENT (check one)

1. ☒ [XX] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 502060
 Deposit Account Name BURGESS & BEREZNAK, LLP

- ☐ [XX] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ [XX] Applicant claims small entity status. See 37 CFR 1.27

2. X Payment Enclosed: X Check (\$434.00)
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	770	2001	385	Utility application filing fee	<u>385.00</u>
1002	340	2002	170	Design application filing fee	<u> </u>
1008	530	2008	265	Plant filing fee	<u> </u>
1004	770	2004	385	Reissue filing fee	<u> </u>
1005	160	2005	80	Provisional application filing fee	<u> </u>
SUBTOTAL (1) \$					<u>385.00</u>

2. EXTRA CLAIM FEES

			Extra Claims	Fee from below	Fee Paid
Total Claims	<u>21</u>	- 20** =	<u>1</u>	X <u>9.00</u>	= <u>9.00</u>
Independent Claims	<u>3</u>	- 3** =	<u>0</u>	X <u>42.00</u>	= <u>0.00</u>
Multiple Dependent				<u>140.00</u>	= <u>0.00</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	
Code	Fee (\$)	Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) \$					<u>9.00</u>

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>F</u>	<u>Fee</u>		
<u>C d</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Bradley J. Berezna, Esq.Signature:  Date: 1/30/04Reg. Number: 33,474 Phone Number: (650) 903-2264